NZ Funds Managed Superannuation Service

ROPS Class
Switch Form

Return to NZ Funds Managed Superannuation Service, Private Bag 92163, Victoria Street West, Auckland 1142, or by email to registry@nzfunds.co.nz

Member name

NZ Funds client number

1. Switch instructions

If you are switching from the British Pound Strategies in to the New Zealand Dollar Strategies and you want the Switch to be processed on the same working day, please send by email to withdrawals@nzfunds.co.nz and CC. to clientservices@nzfunds.co.nz before 2pm. If this form is received after 2pm, the Switch will not be processed until the following working day.

I elect to switch the investment of my NZ Funds Managed Superannuation Service account, including my existing investment and any future contributions, to:

☐ **Life Cycle**

For details of Life Cycle or each Strategy, see the NZ Funds Managed Superannuation Service Product Disclosure Statement.

OR

☐ **Self Select**

I wish to choose my own Strategy allocations as follows:

	% Allocation
Income Strategy – British Pounds	%
Growth Strategy – British Pounds	%
Income Strategy	%
Inflation Strategy	%
Growth Strategy	%
Total	100 %

2. Terms and Conditions

By signing this Switch Form, I/we confirm that:

- All details provided in this Switch Form are correct.
- **I/we have received, read and understood the relevant Product Disclosure Statement applicable to the Strategies that I/we have applied for units in.**
- I/we understand the additional information about the Strategies making up the NZ Funds Managed Superannuation Service is available on the online register at disclose-register.companiesoffice.govt.nz.
- The Product Disclosure Statement applicable to the Strategies that I/we have applied for units in accompanied this application form when it was provided to me.
- **I/we agree to be bound by the terms and conditions contained in the Product Disclosure Statement applicable to the Strategies that I/we have applied for units in, this application form, the NZ Funds Managed Superannuation Service Part Two Trust Deed (as amended from time-to-time) (the Trust Deed) and the online register entry.**
- I/we understand that personal information provided in this application form and any personal information provided by me/us in the future will be used by NZ Funds and the Supervisor, and any related companies of these parties, together with my/our Financial Adviser, for administering the investment, including satisfying the requirements of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (AML/CFT Act)* (this may include using my/our personal information for the purpose of electronic identity verification using various third party databases including the Department of Internal Affairs database). I understand my personal information may also be shared with relevant authorities including Inland Revenue. NZ Funds may also use my/our personal information to provide me/us with information about other products and services. I/we acknowledge that I/we have the right to access and correct this information.
- I/we authorise NZ Funds to disclose personal information to the Financial Markets Authority as may be required from time-to-time under the Financial Markets Conduct Act 2013 or any other law.
- **I/we consent to NZ Funds communicating with me/us, and providing me/us with information, by electronic means (i.e. by email, as provided by me/us and/or by providing me/us with a URL link, or with information through an electronic facility). These communications may include, but not be limited to, general correspondence, investment updates, and legally required communications or documents (including transaction confirmations, annual reports and annual tax statements).**
- We confirm that upon downloading the NZ Funds app, or registering for myNZFunds, we consent to receiving transaction information relating to our investment in the NZ Funds Managed Superannuation Service via the NZ Funds app or myNZFunds.

2. Terms and Conditions (continued)

- I/we agree to comply with the Common Reporting Standards (FATCA and CRS)* regulations which include:
  - Agreeing to inform NZ Funds of any distributions made to beneficiaries that are not New Zealand tax residents. This includes distributions from holdings that sit outside the NZ Funds Managed Superannuation Service.
  - Agreeing to inform NZ Funds of any changes of tax residency status that apply to account holders and any Controlling Persons within 30 days.**
- NZ Funds, as Manager of the NZ Funds Managed Superannuation Service, has the power under the Trust Deed to redeem any units, if it believes compliance with applicable laws (such as AML / CFT, FATCA and CRS)* has not been met. NZ Funds does not need to notify the affected unit holder before invoking this power. If NZ Funds chooses to redeem my/our units, the funds will be returned to the nominated bank account (or cheque) in the same name as the NZ Funds Managed Superannuation Service account. Alternatively, the funds will be held in a non-interest bearing bank account.
- I/we confirm the selected PIR(s) for this account are correct.
- **I/we understand the value of my/our investment in the Strategy can rise and fall depending on market conditions and other circumstances prevailing at the time, and that there is no promise or guarantee made by any person as to the performance of any investment or the return of any funds invested.**

3. Acknowledgement

I understand that this switch of my NZ Funds Managed Superannuation Service account will be:

- invested in the Strategies as detailed above; and
- implemented as soon as practicable after NZ Funds receives the completed form.

Signature of member

Day Month Year

* For further information regarding AML / CFT, FATCA and CRS please refer to our Compliance Guidance Note available on our website at www.nzfunds.co.nz.

** Controlling Persons for CRS refers to Trustees, settlors, protectors, beneficiaries, Directors and shareholders.