NZ Funds Managed Superannuation Service

Superannuation Class

Lump sum contribution

Return to NZ Funds Managed Superannuation Service, Private Bag 92163, Victoria Street West, Auckland 1142, or by email to registry@nzfunds.co.nz

Member name

NZ Funds client number

1. Lump sum contribution instructions

I wish to make a lump sum contribution of $ **by direct credit**

- If you have selected Life Cycle, this lump sum contribution will be invested according to the current Strategy allocation under Life Cycle and will be automatically rebalanced annually according to your age.
- If you have chosen your own Strategy allocations (Self Select) your lump sum contribution will be invested in accordance with your chosen allocation.
- If you wish to change your current allocation or switch between the Life Cycle and Self Select options, you may do so by completing a Switch form.
- For details of Life Cycle and each Strategy, see the NZ Funds Managed Superannuation Service Product Disclosure Statement.

Please make any direct credits to:

NZ Guardian Trust - NZ Funds Managed Superannuation Service

03-0104-0122344-000

Please include your surname in the payee particulars and NZ Funds client number as reference.

2. Investment options (Please select one only*)

☐ **Self Select**

If you choose the Self Select option, you decide how much to invest in each of the Income, Inflation and Growth Strategies.

	% Allocation
Income Strategy	%
Inflation Strategy	%
Growth Strategy	%
Total	100%

☐ **Auto rebalance**

If you choose this option, we will automatically rebalance your portfolio to your chosen allocation annually on the 1st of October.

☐ **Life Cycle**

Under the Life Cycle option, your investment is automatically allocated across the Income, Inflation and Growth Strategies each year based on your age.

* If you do not select an option your investment will be allocated according to Life Cycle.

3. Terms and Conditions

By signing this form, I/we confirm that:

- All details provided in this Switch Form are correct.
- **I/we have received, read and understood the Product Disclosure Statement for the NZ Funds Managed Superannuation Service dated 12 December 2024 for the Strategies that I/we have applied for units in. I/we understand the additional information about the Strategies making up the NZ Funds Managed Superannuation Service is available on the online register at disclose-register.companiesoffice.govt.nz.**
- The Product Disclosure Statement(s) applicable to the Strategies that I/we have applied for units in accompanied this application form when it was provided to me.
- **I/we agree to be bound by the terms and conditions contained in the Product Disclosure Statement(s) applicable to the Strategies that I/we have applied for units in, this application form, the NZ Funds Managed Superannuation Service Part Two Trust Deed (as amended from time-to-time) (the Trust Deed) and the online register entry.**
- I/we understand that personal information provided in this application form and any personal information provided by me/us in the future will be used by NZ Funds and the Supervisor, and any related companies of these parties, together with my/our Financial Adviser, for administering the investment, including satisfying the requirements of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (AML / CFT Act)* (this may include using my/our personal information for the purpose of electronic identity verification using various third party databases including the Department of Internal Affairs database). I understand my personal information may also be shared with relevant authorities including Inland Revenue. NZ Funds may also use my/our personal information to provide me/us with information about other products and services. I/we acknowledge that I/we have the right to access and correct this information.
- I/we authorise NZ Funds to disclose personal information to the Financial Markets Authority as may be required from time-to-time under the Financial Markets Conduct Act 2013 or any other law.
- **I/we consent to NZ Funds communicating with me/us, and providing me/us with information, by electronic means (i.e. by email, as provided by me/us and/or by providing me/us with a URL link, or with information through an electronic facility). These communications may include, but not be limited to, general correspondence, investment updates, and legally required communications or documents (including transaction confirmations, annual reports and annual tax statements).**
- We confirm that upon downloading the NZ Funds app, or registering for myNZFunds, we consent to receiving transaction information relating to our investment in the NZ Funds Managed Superannuation Service via the NZ Funds app or myNZFunds.
- I/we agree to comply with the Common Reporting Standards (FATCA and CRS)* regulations which include:
  - Agreeing to inform NZ Funds of any distributions made to beneficiaries that are not New Zealand tax residents. This includes distributions from holdings that sit outside the NZ Funds Managed Superannuation Service.
  - Agreeing to inform NZ Funds of any changes of tax residency status that apply to account holders and any Controlling Persons within 30 days.**
- NZ Funds, as Manager of the NZ Funds Managed Superannuation Service, has the power under the Trust Deed to redeem any units, if it believes compliance with applicable laws (such as AML / CFT, FATCA and CRS)* has not been met. NZ Funds does not need to notify the affected unit holder before invoking this power. If NZ Funds chooses to redeem my/our units, the funds will be returned to the nominated bank account (or cheque) in the same name as the NZ Funds Managed Superannuation Service account. Alternatively, the funds will be held in a non-interest bearing bank account.
- I/we confirm the selected PIR(s) for this account are correct.
- **I/we understand the value of my/our investment in the Strategy can rise and fall depending on market conditions and other circumstances prevailing at the time, and that there is no promise or guarantee made by any person as to the performance of any investment or the return of any funds invested.**

4. Acknowledgement

I understand that this lump sum contribution in to my NZ Funds Managed Superannuation Service account will be:

- invested in the Strategies as detailed above; and
- allocated to my investment as soon as practical after the Scheme receives the completed form.

Signature of member

Day Month Year

* For further information regarding AML / CFT, FATCA and CRS please refer to our Compliance Guidance Note available on our website at www.nzfunds.co.nz.

** Controlling Persons for CRS refers to Trustees, settlors, protectors, beneficiaries, Directors and shareholders.